FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPR	ROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* <u>Cifu Douglas A</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol Virtu Financial, Inc. [ VIRT ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
CHUD	<u>ougias A</u>										-			X	Director	r		10% O	wner	
(Last) (First) (Middle) C/O VIRTU FINANCIAL, INC. 165 BROADWAY					3. Date of Earliest Transaction (Month/Day/Year) 11/07/2019								X Officer (give title Other (specify below)  Chief Executive Officer							
103 BKC	JADWAI				-	If Ama		at Data	of Origin	aal Fil	ad (Manth)	Day/Vaar)		C India	idual or 1	aint/Craun	Tilin a	(Chaol: An	aliaabla	
(Street) NEW YORK NY 10006			_   4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line)      X Form filed by One Reporting Person     Form filed by More than One Reporting							
(City)	(5	State)	(Zip)												Person					
		Tal	ble I - N	lon-Der	ivati\	/e Se	curit	ies A	cquire	d, D	isposed	of, or E	3enefic	ially	Owned					
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/)				Execution Date,					es Acquired (A) or Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount	(A) or (D)	r Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)			
Class A common stock 11/07/20:			2019	19		P		20,000	A	\$16.2406(1		255	55,000		D					
			Table I								sposed o				wned					
1. Title of	2.	3. Transaction	3A. Dee		4.	, oan		_						<del>-</del> -	. Price of	9. Number		10.	11. Nature	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution if any	on Date, Day/Year)	Transactior Code (Instr 8)		ction of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Securities Underly Derivative Securit (Instr. 3 and 4)		ng D	Derivative Security Instr. 5)	ivative derivative urity Securities		Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownershi (Instr. 4)		
					Code	v	(A)		Date Exercisa		Expiration Date	Title	Amount Number Shares							
Restricted Stock Unit	(2)								(3)		(3)	Class A common stock	86,16	1		86,161	ı	D		
Non- voting common interest units of Virtu Financial LLC	(4)								(4)		(4)	Class A common stock	2,830,7	742		2,830,74	42	D		
Non- voting common interest units of Virtu Financial LLC	(4)								(4)		(4)	Class A common Stock	819,8	04		819,80	4	I	See footnote <sup>(5)</sup>	
Option Award <sup>(6)</sup>	\$19 <sup>(7)</sup>								(7)		(7)	Class A common stock	100,0	00		100,00	0	D		

## **Explanation of Responses:**

- 1. The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$15.98 to \$16.50, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of Virtu Financial, Inc., or to Virtu Financial, Inc., upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- 2. Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.
- 3. 32,116 of the RSUs vest in two equal annual installments on January 23, 2020 and January 23, 2021. 54,045 of the RSUs vest in three equal annual installments on January 23, 2020, January 23, 2021 and January 23, 2022.
- 4. Pursuant to the terms of the Exchange Agreement, effective as of April 15, 2015, by and among the Issuer, Virtu Financial LLC and the equityholders of Virtu Financial LLC (the "Exchange Agreement"), Virtu Financial Units, together with a corresponding number of shares of Class C Common Stock, may be exchanged for shares of Class A common stock of the Issuer, which have one vote per share and economic rights (including rights to dividends and distributions upon liquidation), on a one-for-one basis at the discretion of the holder. The exchange rights under the Exchange Agreement do not expire.
- 5. By a trust, for the benefit of the Cifu Family (the "Cifu Family Trust"). Melissa B. Lautenberg, the reporting person's wife, and Dr. Mitchel A. Lautenberg, Ms. Lautenberg's brother, share dispositive control and voting control over the shares held by the Cifu Family Trust. The reporting person may be deemed to beneficially own the shares held by the Cifu Family Trust by virtue of his relationship with Ms. Lautenberg.
- 6. Each Option Award was granted under the Issuer's 2015 Management Incentive Plan and represents a contingent right to receive one share of a Class A common stock of the Issuer.
- 7. Options Awards vested in equal installments on each of the first four (4) anniversaries of April 15, 2015. Any fractional Option Award resulting from the application of the vesting schedule under the 2015 Management Incentive Plan was aggregated and the Option Awards resulting from such aggregation vested on April 15, 2019.

/s/ Justin Waldie, as Attorneyin-Fact

11/07/2019

\*\* Signature of Reporting Person

Date

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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