| SEC Form 4 |  |
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Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |  |  |  |  |
|--------------------------|--|--|--|--|
| OMB Number: 3235-0287    |  |  |  |  |
| Estimated average burden |  |  |  |  |
| hours per response: 0.   |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br><u>Virtu Financial, Inc.</u>         |                        |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Virtu Financial, Inc. [VIRT]   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |  |  |
|--|------------------------|----------|--|---|--|--|--|
| (Last)<br>1633 BROAL   | (First)                | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/01/2024   | Director 10% Owner<br>Officer (give title Other (specify below)<br>Chief Financial Officer  |  |  |  |
| 41ST FLOOF<br>(Street)<br>NEW YORK   | 41ST FLOOR<br>(Street) |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>✓ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |  |
| (City)   | (State)                | (Zip)    | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                        |          |  |   |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   |        |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |            |
|---------------------------------|--|---|-----------------------------|---|--------|---------------|---|---|---|------------|
|                                 |  |   | Code                        | v | Amount | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |   | (Instr. 4) |
| Class A common stock            |  |   |                             |   |        |               |   | 3,776   | D   |            |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Amount of Securities Underlying Derivative Date (Month/Day/Year) Expiration Date (Month/Day/Year) Derivative Conversion Execution Date, Transaction Derivative derivative Ownership of Indirect Derivative Security (Instr. 3) or Exercise if any (Month/Day/Year) Code (Instr. Security (Instr. 5) Securities Form: Beneficial Direct (D) 8) Price of Derivative Securities Beneficially Ownership Acquired Owned or Indirect (Instr. 4) (A) or Disposed Security (Instr. 3 Following (I) (Instr. 4) Security and 4) Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares Class A Restricted (1) (2) 08/01/2024 35,000 (2) 35,000 \$<mark>0</mark> 58,043 D A commor Stock Unit stock Non voting common Class A interest ee (3) (3) (3) 4.760 4,760 I commor footnote<sup>(4)</sup> units of Virtu stock Financial LLC

Explanation of Responses:

1. Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.

2. The RSUs vest on August 1, 2025, 2026 and 2027.

3. Pursuant to the terms of the Exchange Agreement, effective as of April 15, 2015, by and among the Issuer, Virtu Financial LLC and the equityholders of Virtu Financial LLC (the "Exchange Agreement"), Virtu Financial Units, together with a corresponding number of shares of Class C Common Stock, may be exchanged for shares of Class A Common Stock, which have one vote per share and economic rights (including rights to dividends and distributions upon liquidation), on a one-for-one basis at the discretion of the holder. The exchange rights under the Exchange Agreement do not expire.

4. By Virtu Employee Holdco LLC, a holding vehicle through which employees and directors of the Issuer hold vested and unvested Virtu Financial Units and shares of Class C Common Stock. The reporting person disclaims beneficial ownership in such Virtu Financial Units and shares held by Virtu Employee Holdco LLC except to the extent of his pecuniary interest therein.

Remarks:

| Justin | Waldie, | as | Attorney-in- |
|--------|---------|----|--------------|
| Fact   |         |    |              |

08/02/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.